

Docket No.: 32128-227599

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Udo Steffl

Art Unit: Not Yet Assigned

Application No: 10/571,464

Examiner: Not Yet Assigned

Confirmation No: 6494

International Application No.

PCT/US02/29465

International Filing Date: September 17, 2002

U.S. Filing Date: March 13, 2006

Atty. Docket No: 32128-227599

Customer No:

For: POLYMER COMPOSITION

\*26694\*

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PATENT TRADEMARK OFFICE

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear on any patent to issue therefrom. Copies of documents <u>BB</u> - <u>BD</u> are enclosed.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

A concise explanation of the relevance of documents <u>AB-AC</u> and <u>BB-BD</u> listed on form PTO/SB/08, is in the form of a German Office Action (copy enclosed), issued in a counter part application, which refers to the relevant portions of the documents. An English-language translation is enclosed.

In accordance with 37 CFR 1.97(g), the filing of this First Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the First Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 22-0261, under Order No. 32128-227599. A fee transmittal is enclosed.

Dated: 10/10/06

Respectfully submitted,

Robert Kinberg

Registration No.: 26,924

VENABLE LLP P.O. Box 34385

Washington, DC 20043-9998

(202) 344-4000

(202) 344-8300 (Fax)

Attorney/Agent For Applicant



PTO/SB/08a/b (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

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				Application Number	10/571,464-Conf. #6494		
11	<b>NFORMATION</b>	I DIS	SCLOSURE	Filing Date	March 13, 2006		
S	TATEMENT E	3Y A	APPLICANT	First Named Inventor	Udo Steffl		
				Art Unit	Not Yet Assigned		
	(Use as many she	ets as	necess ary)	Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	32128-227599		

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
	AB	US2001/0025082-A1	09-27-2001	Joon Yong Park				
	AC	US6,013,709-A	01-11-2000	Akitoshi Masuyama et al.				

FOREIGN PATENT DOCUMENTS								
Examiner Cite Initials* No.		Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>		
	BB	DE 696 12 180 T2	07-19-2001	Idemitsu Petrochemical Co., Ltd.				
	BC	EP 0 775 728 A1	05-28-1997	Idemitsu Petrochemical Co., Ltd.		X		
BD		WO 03/025057 A2	03-27-2003	E.I. Du Pont De Nemours and Company		Х		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner		Date	
Signature		Considered	
	<del></del>		

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

OTPE 42006 W

PTO/SB/17 (01-06) Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/571,464 Conf.#6494 **Application Number** FEE TRANSMITTAL March 13, 2006 Filing Date For FY 2006 **Udo STEFFL** First Named Inventor **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 1713 Art Unit TOTAL AMOUNT OF PAYMENT 32128-227599 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Money Order None Check Credit Card Other (please identify): X Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17									
FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)									
1. BASIC FILING, SEARCH, AND EXAMINATION FEES									
FILING FEES SEARCH FEES EXAMINATION FEES									
Application_Type	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees F	Paid (\$)	
Utility	300	150	500	250	200	100			
Design	200	100	100	50	130	65			
Plant	200	100	300	150	160	80			
Reissue	300	150	500	250	600	300			
Provisional	200	100	0	0	0	0			
2. EXCESS CLAIM FEES								Small Entity	
Fee Description							Fee (\$)	Fee (\$)	
Each claim over 20 (includ	Each claim over 20 (including Reissues) 50 25								
Each independent claim ov	er 3 (includir	ig Reissues)					200	100	
Multiple dependent claims							360	180	
Total Claims Extra	Claims F	ee (\$)	Fee Paid	d (\$)	Mu	Itiple Depende	ent Claims		
- 20 = x = Fee (\$) Fee Paid (\$)  HP = highest numer of total claims paid for, if greater than 20.							<u> </u>		
Indep. Claims Extra	Claims F	ee (\$)	Fee Paid	d (\$)				_	
-3=	x	=							
HP = highest numer of independ	lent claims paid (	for, if greater than	3.					_	
3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)									
4. OTHER FEE(S) Fees Paid (\$)									
Non-English Specification, \$130 fee (no small entity discount)									

Other (e.g., late filing surcharge):

SUBMITTED BY

Signature

Registration No. (Attorney/Agent)

Name (Print/Type) Robert Kinberg

Registration No. (Attorney/Agent)

Date 10/10/06